UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF NEW YORK
-----X
ROBERTO DEJESUS 12A0084,
Plaintiff,



17 $^{\circ}$ CV 982 \vee

V.

COMPLAINTJury Trial Demand

| CAPTAIN CHUTNEY, | |
|--------------------|---|
| DONALD VENETTOZZI, | |
| Defendants. | |
| Defendants. | • |

1. This is a Civil Rights Action seeking and damages to defend and protect the rights guaranteed by the Constitution of the United States. This action arises under and is brought pursuant to 42 U.S.C. Section 1983 to remedy the deprivation, under color of state law, of rights guaranteed by the Eighth Amendment of the United States Constitution. This Court has jurisdiction over this action pursuant to 28 U.S.C. Sections 1331, 1343, and 2201.

JURISDICTION AND VENUE

2. Venue properly lies in this District pursuant to 28 U.S.C. Section 1391(b), because the events giving rise to this cause of action occurred at Attica Correctional Facility in Attica, New York, which is located within the Western District of New York.

PARTIES TO THIS ACTION

-PLAINTIFF-

3. Plaintiff Roberto Dejesus # 12A0084 is a prisoner confined in Attica Correctional Facility, located at 639 Exchange Street, Attica, New York 14011.

4. Plaintiff Roberto Dejesus is, and was at all times mentioned herein, a citizen of the United States and resident of the state of New York.

-DEFENDANTS-

- 5. Defendant Chuttey is employed as Deputy of Security at the Auburn Correctional Facility.

 He is and was at all relevant times an employee of the prison.
- 6. Defendant Venettozzi is employed as Acting Director of Special Housing Unit inmate disciplinary program. He is responsible for all administrative appeals of all correctional facilities.

PREVIOUS LAWSUITS FILED BY PLAINTIFF

7. Plaintiff has filed no other lawsuits dealing with the same facts involved in this action or otherwise relating to his imprisonment.

EXHAUSTION OF ADMINISTRATIVE REMEDIES

8. Plaintiff has exhausted his administrative remedies by appealing his claim.

STATEMENT OF CLAIM

9. At all relevant times herein, defendants were "persons" for purposes of 42 U.S.C. Section 1983 and acted under color of law to deprive plaintiff of his constitutional rights, as set forth more fully below.

STATEMENT OF FACTS

10. On February 1, 2015, the Plaintiff was an offender at Auburn Correctional Facility in Cayuga County, New York. As a result of an incident on February 1st, 2015, Plaintiff was, after an investigation on February 11th, 2015, charged with violating the following prison disciplinary rules:

110.10 Assault; 100.13 Fighting; 104.11 Violent Conduct; 104.13 Creating a Disturbance; 113.10 Weapon.

- 11. The misbehavior report (MR) describes the incident giving rise to the above charges as follows: on February 1, 2015, based on an ongoing investigation through several reliable confidential sources and interviews, you were positively identified as the perpetrator of serious assault on another inmate. The assault took place at the gym door entrance. The inmate was stabbed from behind while waiting to gain entrance into the gym and medical determined that the weapon was a stabbing type weapon that was not recovered. At this point you were observed by confidential sources throwing the weapon and running from the area.
- 12. Upon information and belief, the plaintiff alleges that the (MR) is entirely defective as it does not describe with sufficient particularity the individual involved in the incident as required by the regulations. When these details are not available, the notice should explain that the information is unknown. (See Exhibit A-Misbehavior report dated Feb. 1, 2015).
- 13. The description in the report is controverted and contradicted by a report written by Sgt. Rogofsky indicating that the incident was not witnessed, was not on video tape, and the victim Mr. Ramsey #91B2334 did not identify the plaintiff as his assailant (See exhibits To/From memo by Sgt. Rogosky and Exhibit C- Unusual Incident report).
- 14. In the MR there is no mention or description of a fight or disturbance. However, two charges of such were added to the report to simply bolster the charges against the plaintiff even though such charges are unsubstantiated by the facts of the incident rendering the report inadequate to provide notice of the charges.
 - 15. The MR contains no description of or identity of any inmate that plaintiff was allegedly

involved in a fight with, the plaintiff possessed no injuries that would be consistent with a fight or physical altercation (See Exhibit A).

- 16. Upon information and belief, the report gives only a theorized description of what the investigators believed to have occurred after piecing a story together leaving no factual specificity to permit the hearing officer to independently assess the facts.
- 17. Upon information and belief, the confidential source in this matter is not confidential but a known adversary of the plaintiff with an established motive to frame the plaintiff that was not investigated by the hearing officer.
- 18. On February 5, 2015, an inmate that locked in C-14-32 cell at ACF named Mooney # 06A3386, also witnessed who refused to testify stated to Sgt. Graf, the supervisor at that time in C-Block that the plaintiff whom at that time locked in C-14-18 cell took his personal radio out his cell and also told Sgt. Graf that the plaintiff assaulted Mr. Ramsey #91B2334 who at that time locked in C-14-36 cell at the gym door entrance on February 1, 2015.
- 19. As a result of the contrived information provided by inmate [Mooney], Sgt. Graf authorized three (3) officers to search the Plaintiff cell which uncovered no radio, weapon, or evidence linking Plaintiff to either incident after Mr. Mooney's failed attempt to have the plaintiff removed from the cell block due to a personal vendetta, he requested to be placed in protective custody (PC) which entry is only authorized by either claiming to be under threat of danger or by providing information to the facility administration. Mr. Mooney was the, moved to A-block then to E-block where (PC) is housed.
- 20. On February 6, 2015, the Plaintiff was placed under 72 hour investigation keeplock status and on February 9, 2015, after 72 hours, no report of wrong doing as issued to the Plaintiff.

- 21. On February 10, 2015, Sgt. Graf came with two officers to escort the plaintiff to special housing unit (SHU) due to a misbehavior report which alleged that Plaintiff assaulted Mr. Ramsey # 91B2334.
- 22. On February 11, 2015, the Plaintiff was served with the misbehavior report based on the alleged confidential information provided obviously by Mr. Mooney. However, during the hearing, all of the confidential information was entered into the record via off the record testimony of Correction Officer (CO) M. Gould.
- 23. The hearing officer (HO) defendant Captain Chutty relied on the hearing confidential information to find the Plaintiff guilty and made no mention in the record that he would be considering such confidential information and why it would remain confidential (See Exhibit D Disposition).
- 24. Defendant Captain Chutty did not interview the actual confidential source directly in person or over the phone, rendering the testimony of C.O. Gould concerning the confidential information as third party hearsay which was in itself, insufficiently detailed to allow an independent assessment of the confidential information received and the record is devoid of any such assessment to gauge the reliability or credibility of the information.
- 25. A review of the testimony given by C.O. Kirk and the law library officer V on February 26, 2015 indicates that it was physically impossible for the Plaintiff to have committed the assault. As the incident occurred at approximately 1:45 p.m., the confidential source stated that he observed the Plaintiff commit the assault and run away from the area tossing the weapon. However, C.O. V , the law library officer, stated in his testimony that he saw the Plaintiff in the law library after the shift change at 2:00 pm.

- 26. The entrance to both the gym door and the law library, are in two opposite directions. Therefore, the Plaintiff could not have ran one direction, tossed the weapon and then be spotted on the other side in the library. The entire area is a controlled location under video surveillance, the video of the area shows no incident and no weapon was recovered in the area where the informant said it was tossed. (See Exhibit B).
- 27. The information provided by the alleged informant is contradicted by the officer's on post in the area of assault testimony. If the assault occurred at the gym door entrance as written on the MR then the officer "Kirkwood" would have witnessed it as that was his area to observe, the inmates waiting on line for entry inti the gym and library.
- 28. After the assault at approximately 1:50 p.m., the entire area was frozen for a search of the area, and all inmates in that area was searched. The lock down prevented entry or exit from the area of the assault and the Plaintiff was in the library and not in any other area of the facility as the informant alleges.
- 29. Officer Corkwood testified that it was his duty to monitor the gym door entrance by standing inside looking out the line coming in. When the plaintiff asked officer Corkwood did he see Plaintiff fighting or involved in any violent conduct, assault, etc., Officer Corkwood stated "no". See Exhibit E- Testimony of Corkwood).
- 30. When the Plaintiff asked officer Corkwood would it be noticeable for him being at the gym door entrance post to see two inmates fighting? Officer Corkwood said "yes".
- 31. A review of page of the requested documents list and witness questions submitted to the tier assistant indicates that the Plaintiff was in fact inside the library already at the time of the assault and took out the book titled: "OSOBO Speaking to the Spirit of Misfortune". This is consistent with

the law library officer testimony that he observed the Plaintiff inside the general library on the day of the incident in that approximate time frame. Clearly, the informant identified the wrong man because one person cannot be at two places at one time (See Exhibit G).

- 32. The hearing officer disregarded these factual inconsistencies in the informants information provided to the investigating officer, illustrating partiality on his behalf in the face of testimony from officers posted in the area who did not see the Plaintiff involved in any incident.
- 33. Upon information and belief, on February 12, 2015 at 1:30 p.m., the Plaintiff was provided with an inmate assistance C.O. Stanton, and requested specific documents and requested four potential inmate witnesses to assist with Plaintiff's defense. (See Exhibit G-Assistance Form). Two Witnesses agreed to testify on the Plaintiffs behalf and inmates Mooney #06A3386 and the victim Ramsey #91B2334 both refused to testify and gave no reason why they refused to testify on Plaintiffs behalf.
- 34. On February 18, 2015, the plaintiffs disciplinary hearing commenced and Plaintiff reasserted his initial request to call both the victim Mr. Ramsey and Mr. Mooney as witnesses on his behalf and stressed to the hearing officer the value and relevance of their testimony. The H.O. replied stating: "they refused and cannot force them to testify." The Plaintiff then noted his objection on the record.
- 35. The plaintiff then requested the two witnesses again but this time he specifically asked the H.O. defendant Chutty: "Captain, would it be possible that the two inmates I requested as witnesses *** be questioned by you because as you know these witnesses support my position in the subject matter of the misbehavior report."
 - 36. The H.O. denied the request and failed to probe why the witnesses refused and failed to

provide the Plaintiff with a witness denial form/witness refusal form as required by the regulations. However, witness interview notices were provided for all the other witnesses even though the form itself states that in the event of a denial a witness refusal form must be provided (See Exhibit - I witness interview notice).

- 37. At the conclusion of March 26, 2015, the H.O. found the Plaintiff guilty on all charges and a penalty of seven hundred and thirty days confinement as well as loss of privileges. And three hundred and sixty-five days recommended loss of good time (See Exhibit J- hearing disposition sheet rendered dated March 26, 2015).
- 38. Though no evidence whatsoever was offered to substantiate that the Plaintiff actually committed the assault. The only evidence specifically linking the Plaintiff to the incident was insufficiently detailed hearsay entered into the record by a third party whom had no actual direct knowledge of the facts and the H.O. assessed the informant's reliability and credibility solely through the testimony of the investigating officer with no direct contact with the direct contact with the informant or the confidential sources to allow him to make an independent assessment of the information received. Plaintiff remained in S.H.U. for a total of eighteen months from February 6, 2015 until August 23, 2016 and released from the three hundred seventy days penalty imposed due to good behavior.
- 39. Plaintiff did a pro-se administrative appeal which was filed on April 4, 2015 appealing the defendant Donald Venettozzi who is the Acting Director of Special Housing Unit Inmate Disciplinary Program, citing reversal grounds so that the defendant could correct and cure the illegal acts, but the defendant failed to fix the problem.
 - 40. By notice dated June 11, 2015, Plaintiff was notified that the Tier III hearing was

reviewed and affirmed on June 11, 2015 by the defendant Donald Venettozzi (See Exhibit I-review of Superintendent's hearing.

- 41. Plaintiff commenced an Article 78 proceeding on July 17, 2015 and it appearing from the pleadings that a question of substantial evidence has been raised requiring transfer of the proceeding to the Appellate Division Third Department, per order from the Third Department dated December 15, 2016, the Superintendent's hearing was reversed and remitted for a new hearing (See Exhibit -K Decision by Appellate Division).
- 42. At the Plaintiff's rehearing it was determined that there was not sufficient factual or substantial evidence to warrant a finding of guilty of the charges. It is well settled that a written misbehavior report by itself can constitute substantial evidence of an inmates misconduct, therefore as all issues of credibility are for a hearing officer to determine. H.O. found that the misbehavior report on its face was insufficient to warrant a finding of guilt against the Plaintiff and dismissed all the charges against the Plaintiff.

LEGAL CLAIMS

- 43. Plaintiff realleges and incorporates by reference paragraph 1 through 43.
- 44. FIRST CLAIM: On March 26, 2015, defendant Captain Chutty violated Plaintiff's Due Process Clause of the Fifth and Fourteenth Amendments of the United States Constitution require that an inmate be permitted to call witnesses in his defense provided that doing so will not be unduly hazardous to institutional safety or correctional goals. The Fourteenth Amendment to the Constitution provides that no state shall ... deprive any person of life, liberty, or property without due process of law. U.S. Const. Amend. XVI, § 1. Although prison inmates necessarily have their liberty severely curtailed while incarcerated they nonetheless entitled to certain procedural protection when

disciplinary actions subject them to further liberty deprivations such as loss of good time credit or special confinement that imposes an atypical hardship.

- 45. Defendant confined Plaintiff and unlawfully deprived Plaintiff of his liberty and freedoms he would have otherwise enjoyed had not it been for the defendants violation of Plaintiff's rights.
- 46. The confinement was unconstitutional and also infringed upon the rights of Plaintiff and it amounted to cruel and unusual punishment, violating the Plaintiff Eighth and Fourteenth Amendment due process rights.
- 47. Defendant's wrongful confinement was very unlawful and intended to restrict Plaintiff person from otherwise privileges enjoyed by all other inmates which include commissary, phone, school, programs, and activities associated to cruel and unusual punishment (unduly hardship) in violation of the Eighth Amendment of the United States Constitution. The rights to due process of law (the equal protection clause) protected by the Fourteenth Amendment of the United States Constitution.
- 48. Defendant(s) have deprived Plaintiff of his civil, constitutional, and statutory right and have conspired to deprive Plaintiff of such rights under 42 U.S.C. § § 1983 and 1985 and New York State Constitution.

SECOND CLAIM

- 49. Plaintiff realleges and incorporates by reference paragraph 43 through 49.
- 50. Defendant, Donald Venettozzi participated directly in the constitutional violation when Plaintiff appealed the defendant Captain Chutty ruling to Defendant Venettozzi, Director of Special Housing, citing reversible grounds so that the defendant could correct and cure the illegal acts, but the defendant Venettozzi failed to fix he problem.

51. Defendant Venettozzi violated due clause of the Fourteenth Amendment of the United

States Constitution when Plaintiff was deprived of liberty within the meaning of wrongfully confine

to special housing unit for eighteen months.

52. Defendants of the state of New York it's agents, servants, officers and officials acting

under the color of state law in the scope and furtherance of their employment.

53. Plaintiff Roberto Dejesus has no plain, adequate, of complete remedy at law to redress

the wrongs described herein. Plaintiff has been and will continue to be irreparably injured by the

conduct of defendants unless this court grants the declaratory and injunctive relief which Plaintiff

seeks.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff respectfully prays that this Court enter judgment granting Plaintiff.

54. A declaration that the acts and omissions described herein violated Plaintiff Due Process

rights of the Fifth and Fourteenth Amendments of the United States Constitution.

55. Compensatory damages in the amount of \$150.00 dollars for each day Plaintiff spent

wrongfully confined to special housing for the past eighteen months against each defendants.

56. Punitive damages in the amount of \$100.00 against each defendant.

57. A jury trial on all issues triable by jury.

58. Plaintiff's costs in preparing and filing this suit.

59. Any additional relief this court deems just, proper, and equitable.

Date: Sept. 1946, 2017.

Respectfully Submitted.

Robert Dejesus

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I declare under the penalty of perjury that the foregoing is true and correct.

Executed on Sept. 19^{44} 2017.

Roberto Dejesus.

A

Case 9:18-cv-01479-MAD-ML Document 1 Filed 09/29/17 Page 14 of 54 AUBURN Correctional Facility

INMATE MISBEHAVIOR REPORT ♦ INFORME DE MAL COMPORTAMIENTO DEL RECLUSO

| I. NAME OF INMATE (Last, | First) NOVMBRE DEL RECLUSO (Apellido, Nombre) | NO. NUM. | HOUSING LOCATION & CELDA |
|--------------------------|--|--|--|
| Dejesus, Roberto | | 12A0084 | C-14-18 |
| 2. LOCATION OF INCIDENT | ♦ LUGAR DEL INCIDENTE | INCIDENT DATE ♦ FECHA | INCIDENT TIME & HORA |
| Gym Door entrance | | 2/1/15 | Approx.1:45pm |
| 3. RULE VIOLATION(S) 🛭 🖠 | VIOLACIONES | | 1. Ipprox. 1. Iopiii |
| 100.10 assault on in | mate,113.10 weapon, 104.11 Violent conduct, 10 | 04.13 creating a disturbance, | 100.13 fighting |
| 4. DESCRIPTION OF INCID | ENT P DESCRIPCION DEL INCIDENTE | | |
| | investigation through several reliable confinder | | |
| as the perpetrator of | a serious assault on another inmate. Tha assault | took place at the gym door er | ntrance. The inmate was |
| stabbed from behind | while waiting to gain entrance into the gym and | d medical determined that the | weapon was a stabbing type |
| | recovered. At this point you were observed by c | | |
| from the area. | | | |
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| | | | |
| , | | 1 | |
| REPORT DATE FECHA | REPORTED BY NOMBRE DE LA PERSONA QUE HACE EL INFORME | SIGNATURE ************************************ | |
| 2-10-15 | M. Gould | SIGNATORE WHAMA | TITLE \$TITULO |
| 5. ENDORSEMENTS OF OTHE | R EMPLOYEE WITNESSES(if any) SIGNATURES: | | |
| ENDOSOS DE OTROS EMPLEA | ADOS TESTIGOS (si hay) FIRMAS 1. | | |
| 2. | | 2 | |
| NOTE: Fold back page 2 | on dotted line before completing below. | | |
| 6. WERE OTHER INMATE | | /E NAME & # | |
| | PONFIDENTIAL | E NAME & # | |
| 7. AT THE TIME OF THE I | NCIDENT, WAS INMATE UNDER PRIOR CONFINEMENT/REST | RICTION? YES | NO K OR |
| | CIDENT, WAS INMATE CONFINED/RESTRICTED? | 3 | NO 🔼 OR |
| | | YES NO | |
| IF YES, (a) CURRENT HO | SIKI N | 11 | Maclo |
| | | AUTHORIZED BY | 1 Month |
| 9. WAS PHYSICAL FORCE | E. USED? YES NO X (IF YES, FII | LE FORM 2104) | The state of the s |
| | AREA SUPER VISOR | ENDORSEMENT | Sey Sey |

Dist: WHITE - Disciplinary Office

B

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PAGE

STATE OF NEW YORK DEPT OF CORRECTIONS AND COMMUNITY SUPERVISION UNUSUAL INCIDENT REPORT

02/11/15 10:01 AM

AUBURN GENERAL

FAC CODE 010

FAC LOG# 150035 CCC# 240490

INCIDENT DATE 02/01/15 TIME 01:45 PM LOCATION YARD

MAIN YARD

TELEPHONE DATE 02/01/15 TIME 05:31 PM

PERSON CALLING

LT T.QUINN

PERSON RECEIVING CAPT MICHAEL SPINA

REPORT DATE 02/09/15 PERSON REPORTING LT T. QUINN

USE OF FORCE NO

WEAPON USED YES WORKPLACE VIOLENCE NO

ASSAULT ON INMATE (02) 01

DESCRIPTION:

INMATE RAMSEY 91B2334 C-14-36 REPORTED TO CO.SLAYTON AT THE WIRE GATE THAT HE HAD BEEN CUT.CO.SLAYTON OBSERVED A PUNCTURE TYPE WOUND RIGHT SIDE OF THE FACE.SGT.ROGOFSKY NOTIFIED.INMATE ESCORTED TO FIRST AID FOR TREATMENT. INMATE STATED THAT HE WAS CUT AT THE GYM ENTRANCE.

EVENTS CAUSING:

INMATE RAMSEY 91B2334 REPORTED TO CO SLAYTON AT THE WIRE GATE THAT HE HAD BEEN CUT AT THE GYM ENTRANCE.

ACTION TAKEN:

INMATE WAS TREATED FOR A 1" FULL THICKNESS LACERATION RIGHT CHEEK, 1" PUNCTUREON LEFT SIDE ROOF OF THE MOUTH. INMATE TRANSPORTED TO AUBURN HOSPITAL VIA STATE VAN FOR FURTHER TREATMENT. AREA OF THE INCIDENT FRISKED, NCF.INMATE'S CELL FRISKED PER SGT.ROGOFSKY, NCF. INVOLUNTARY PROTECTIVE CUSTODY RECOMMENDATION FILED. INMATE TO BE HOUSED IN THE FACILITY HOSPITAL UPON RETURN TO THE FACILITY.ALL REPORTS FILED.OD STWD.FESTA NOTIFIED.

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PAGE 2 STATE OF NEW YORK PRINTED

DEPT OF CORRECTIONS AND COMMUNITY SUPERVISION 02/11/15

UNUSUAL INCIDENT REPORT

PRINTED AT 02/11/15 10:01 AM

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|--|---|---|---|
| AUBURN GENERAL | FAC CODE 010 | FAC LOG# 150035 | CCC# 240490 |
| | | | |
| INCIDENT DATE 02/01/19 | 5 TIME 01:45 PM L | OCATION YARD | MAIN YARD |
| USE OF FORCE NO | WEAPON USED Y | ES WORKPLACE V | IOLENCE NO |
| ********** | | * | ********* |
| <u>ACTION TAKEN:</u> INMATE INJURIES ARE COM | | NTINUED) BBING TYPE WEAPON. | : <u></u> . |
| | | | |
| **************** | * | * | * |
| MEDICAL REPORT: | | | |
| 1" FULL THICKNESS LACER ADDENDUM 2/1/15 @ 8:00F TONGUE UPPER LEFT HARD | M: PER ER, LAC THR | | |
| E. SMITH | /NURSE | 02/01 | /15 01:45 PM |
| EXAMI | NER NAME/TITLE | EXA | M DATE/TIME |
| | | | |
| * | | * | * |
| PROPERTY DAMAGE: | | | |
| N/A | | - | |
| | | | |
| ***** | * * * * * * * * * * * * * * * * * * * | * * * * * * * * * * * * * * * * * * * | ****** |
| NOTIFICATION (FAMILY): | | | |

N/A

NZA

NOTIFICATION (POLICE/OTHER):

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PAGE 3 STATE OF NEW YORK

DEPT OF CORRECTIONS AND COMMUNITY SUPERVISION

UNUSUAL INCIDENT REPORT

PRINTED AT 02/11/15 10:01 AM

FAC CODE 010 FAC LOG# 150035 CCC# 240490 AUBURN GENERAL INCIDENT DATE 02/01/15 TIME 01:45 PM LOCATION YARD MAIN YARD WEAPON USED YES WORKPLACE VIOLENCE NO USE OF FORCE NO ***************** INMATE INFORMATION: . ************************ 91B2334 <u>DOB</u> 05/27/1965 <u>ETHNIC</u>- BLACK RAMSEY, MICHAEL GEN INCIDENT - SPECIFIC INCIDENT ROLE WEAPON FORCE <u>INJURY</u> VICTIM UNR/STABNG PUNCTURE ASSAULT - ON INMATE EMPLOYEE INFORMATION: ******************* ROGOFSKY, CHRISTOPHER J SGT GEN INCIDENT - SPECIFIC INCIDENT FORCE INJURY DEGREE ASSAULT - ON INMATE CO SLAYTON, KEVIN R GEN INCIDENT - SPECIFIC INCIDENT FORCE INJURY DEGREE ASSAULT - ON INMATE

DS1 GRAFTON ROBINSON ACTING SUPERINTENDENT

02/11/15 DATE

State of New York-Department of Correctional Services Auburn Correctional Facility Inter-Departmental Communication

To: Lt. Quinn

From: Sgt. Rogofsky

Subject: Ramsey, Michael 91B2334 (C-14-36) U.I.15-0035

Date: 2/1/15

Sir.

On the above date at approximately 1:45 P.M. we had just completed running afternoon recreation. Inmate Ramsey, M. 91B2334 (C-14-36) reported to the wire gate Officer Slayton, K. that he had been "cut." Officer Slayton observed blood on the face of Ramsey and he was also spitting up blood. I responded to the area with Officer Tanner and we escorted Inmate Ramsey to first aid without incident. Inmate Ramsey was seen by R.N. Smith and treated for a one inch full thickness laceration to his right cheek. Inmate Ramsey was sent to Auburn Community Hospital via state van for further treatment.

Inmate Ramsey submitted a urine sample and his cell was frisked with no contraband found. A Involuntary Protective Custody Request was submitted and all other pertinent

paperwork has been completed.

The incident was not witnessed. I interviewed Inmate Ramsey and he stated he was at the gym entrance waiting to enter when he was cut by an unidentified Inmate. The area of the incident was frisked with no contraband recovered. I checked with A-Roof post and nothing was seen on video.

Respectfully,

C. Rogofsky S



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02/11/15 ATE OF NEW YORK - DEPARTMENT OF CORRECTIONAL SER SUPERINTENDENT HEARING DISPOSITION RENDERED

DIN: 12A0084 NAME: DEJESUS, ROBERTO

HEARING DATE: 3/26/5-

Co. Could and to Knight. The fishing of to traile, Co T. Melothy, Co Fame, Co Crady, Co Wight, Co Vaudaways Co Cumpy, Go Knight, Co Bishop, Co Kirkwall, Sot Grack I for 's Mc Even 09A 3372, Bazon 09A 1331. The Supporting Adjusted to the new Sepecies. Conclusion of RN Smith Voyaling

Again to Ifon Rander, 9182334. Medical Des-Confidential intermedia

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Pait to Charge Totale Anich for School viport. Must out engage in Granthine on Violent be having this was a Sorions injung Consting permant Distignment to munite Luisses 9182334 while the intillent was nut witherssed by starte, Contilling him Testiming was lelibly and trubble establishing Sattleant Evilence to proporty will not be tolerated. This inwest has a prior Discipline history of Similar Chiques and Continues to Use

D. SUPPLEMENTAL DATA ENTRY:

- 1. WAS INMATE FOUND GUILTY OF ASSAULT? (100.10, 100.11, OR 100.12) YES $\frac{\chi}{\chi}$ NO ____ FOR THE FOLLOWING, USE CODES FROM UI REPORTING SYSTEM (DIR. #4004):
- 2. DID INMATE USE A WEAPON? YES X NO _ IF YES, TYPE USED UN recovered 18
- 3. DID INMATE CAUSE INJURY? YES X NO _ IF YES, DEGREE CODE SeriAS 03

Villence to Further his objectives. The invole must be daisent to sign for the protection of Start and involves us well as to ensure to Safe operations of the Facility.

CVUIDIT E

HEARING OFFICER:

Alright. Well, there's nothing in the log

book. I' I don't know. I don't know what

else to tell ya.

INMATE DEJESUS:

Don't worry about it.

HEARING OFFICER:

Alright?

INMATE DEJESUS:

Let's move on.

HEARING OFFICER:

Um, (on the phone)

Hey, this is Captain Chuttey. Have you got

Officer Kirkwood around there?

Gym door. (INAUDIBLE) Alright. That's

where he's at? Okay. Thank you.

Hey, Officer Kirkwood, Captain Chuttey,

how are you doing. Hey, gotta put you on

tape to get your testimony from ya.

Alright? Officer Kirkwood, can you hear me

okay?

*

OFFICER KIRKWOOD:



Yes

HEARING OFFICER:

You're on speaker phone. The tape recorder is on. Inmate DeJesus is in the room. Do you have a minute to give some

testimony?

OFFICER KIRKWOOD:

Uh, yeah.

HEARING OFFICER:

Okay. You've been called....can you state

your name and your title for the record,

please?

OFFICER KIRKWOOD:

Officer Kirkwood

HEARING OFFICER:

Officer Kirkwood?

OFFICER KIRKWOOD:

Yes

HEARING OFFICER:

Okay. You been called by inmate DeJesus,

uh, we're conducting a Tier III Hearing.

Back on the 10th of February, he received a

misbehavior report written up by Officer

Gould and charge with assault, weapon,

violent conduct, creating disturbances,

fighting. Um, inmate Dejesus has

requested that you testify. You might be

able to answer some questions for him. Go

ahead and ask your first question of the

Officer.

INMATE DEJESUS:

When you working on February 1st, 2015?

HEARING OFFICER:

Were you working February 1st, 2015?

OFFICER KIRKWOOD:

I don't know. I'll have to check.

HEARING OFFICER:

Will you take a quick peak and check?

OFFICER KIRKWOOD:

February 1st, 2015?

HEARING OFFICER:

Yep_

OFFICER KIRKWOOD:

No

HEARING OFFICER:

You were off?

OFFICER KIRKWOOD:

Yeah.

HEARING OFFICER:

Okay. He was off February 1st, 2015.

OFFICER KIRKWOOD:

That was a Sunday? Yeah, I was working.

HEARING OFFICER;

You were working.

OFFICER KIRKWOOD:

Yeah.

HEARING OFFICER:

Okay. You were working February 1st?

OFFICER KIRKWOOD:

Yeah

HEARING OFFICER:

Correction. He was working February 1st.

INMATE DEJESUS:

He was working at the gym,,, at the gym

door...

HEARING OFFICER:

Do you remember where you, what post

you were covering on the day?

OFFICER KIRKWOOD:

At what time?

HEARING OFFICER:

What time?

INMATE DEJESUS:

About approximately 1:45.

HEARING OFFICER:

1:45 p.m.

OFFICER KIRKWOOD:

I was at the door.

HEARING OFFICER;

Where's that?

OFFICER KIRKWOOD:

Gym door.

HEARING OFFICER:

You would have been at the gym door.

Okay. Next question.

INMATE DEJESUS:

Um, can you tell me what would be the duty

working at the gym door entry post?

HEARING OFFICER:

What are you looking for specifically?

INMATE DEJESUS:

You know, what he, what he suppose to do

at the gym door. Uh...

HEARING OFFICER:

What are you looking for? (INAUDIBLE)

What you looking for him?

OFFICER KIRKWOOD:

What do I look for...

HEARING OFFICER:

No, no, no., stand (INAUDIBLE) Officer. I'll

get with you in a minute.

INMATE DEJESUS:

Because, if he was at the gym door duty,

uh, uh, on the door that day, he could of

seen...

HEARING OFFICER:

Okay. Ask him that? How about we ask

him that.

INMATE DEJESUS:

Um, did you see, did you witness, uh, a

inmate being assaulted on the gym door

entry post?

HEARING OFFICER:

Did you see an inmate, on that date,

February 1st, 2015, approximately 1:45, uh,

assaulted or know of one being assaulted

or be part of an incident?

OFFICER KIRKWOOD:

No

INMATE DEJESUS:

Would you say it would be noticeable if you

seen at the gym door entry post, two

inmates engaged on fighting or an inmate

assaulting another inmate?

HEARING OFFICER:

If it was outside of the gym door, right?

INMATE DEJESUS:

Right

HEARING OFFICER:

Alright. Outside of the gym door if an

incident occurred there, would that be

something that you would be able to

observe or see?

OFFICER KIRKWOOD:

If it was directly in front of the door,

possibly.

HEARING OFFICER:

Yeah

OFFICER KIRKWOOD:

(INAUDIBLE)

HEARING OFFICER:

Where, what's your post like, where,

describe your post.

OFFICER KIRKWOOD:

I stand inside the door. (INAUDIBLE) Looking

outside the door.

HEARING OFFICER:

Are there windows where you can outside?

OFFICER KIRKWOOD:

Yeah

HEARING OFFICER:

So, if you looked out a window you could

see something, right?

OFFICER KIRKWOOD:

Yeah, if I looked out a window I...

HEARING OFFICER:

Alright. So, only if you looked out the

window he'd see something.

INMATE DEJESUS:

Uh, huh

HEARING OFFICER:

His advantage point. Next question.

INMATE DEJESUS:

Um, did you see, did you see me fighting?

HEARING OFFICER:

Did you see inmate DeJesus fighting?

OFFICER KIRKWOOD:

No

INMATE DEJESUS:

Did you see me, uh, creating a disturbance?

HEARING OFFICER:

Did you see inmate DeJesus creating a

disturbance?

OFFICER KIRKWOOD:

No

INMATE DEJESUS:

Did you see me on violent conduct?

HEARING OFFICER:

Well, we don't need to go through every

single charge. I think we get the point. Did

you see inmate DeJesus conducting any

type of violent behavior?

OFFICER KIRKWOOD:

(INAUDIBLE) No

HEARING OFFICER:

(No)

INMATE DEJESUS:

No further questions.

HEARING OFFICER:

Alright. Thank you. Goodbye.

INMATE DEJESUS:

I don't understand. This, this, this is what I

don't understand, Captain Chuttey, that

Officer's right there where this suppose to

be happening and...

HEARING OFFICER: Well, it think that's what it says. I mean, I

mean that's the, that's the whole I, that's

what, that's what basically what the ticket

says.

INMATE DEJESUS:

If I'm standing at the line.

HEARING OFFICER:

Yeah

INMATE DEJESUS:

And you...

HEARING OFFICER:

Otherwise if a Correction Officer saw what

occurred, what Officer Gould has written,

there would have been, they would have

written the misbehavior report.

INMATE DEJESUS:

But, but. I understand that but don't, don't

that make sense if a Officer at his post and

he can see all the inmates outside. Don't,

don't, don't that make sense that it

happened?

HEARING OFFICER:

Well. I would hope that they would see

things.

INMATE DEJESUS:

Right

HEARING OFFICER:

I mean, that's what they're here for. That's

what we're paying them for.

INMATE DEJESUS:

Right

HEARING OFFICER:

That don't mean that they see everything.

Um, we'd like that. Ultimately, that's what

we're looking for. Are we still calling

Officer Bishop?

INMATE DEJESUS:

Uh, yes.

HEARING OFFICER:

And we're calling, and Officer Grady.

INMATE DEJESUS:

Yes. Uh, Sergeant, uh, Grady?

HEARING OFFICER:

Officer Grady.

INMATE DEJESUS:

Grady is the, uh, Grady is the door, uh, he's

in the...

HEARING OFFICER:

(on phone)

Hey, this is Captain Chuttey again. Is Grady

over there too? Is he right there? Alright.

Can I, have him use the Okay. Hey, this

is Captain Chuttey, how ya doing? I want to

get some testimony from you. Uh, inmate

DeJesus has requested that you testify.

Alright? Ready? Okay.

Officer Grady can you hear me okay?

OFFICER GRADY:

Pardon

HEARING OFFICER:

Can you hear me okay?

OFFICER GRADY:

Yeah

Document 1/ Filed 09/29/17 Page 33 of 54 Documents Directive was Rule GIVEN Directive CHAPTER V ANNATion GIVEN C-Block E-Blo MAIN yard Videos COCONO VIDEO OFINCIDEM Gum entrance Post Log Book NAMES of the officers NAME OF OFFICERS that was 2-1-15 POST The DAY APPX 1.45 Pm. N ENTRANCE DOES NOT HAVE A LOG BOOK 6-1 ongoing investigation Re From Superintendent Securi' OR Log Book DATEd RKNIGHT NAME Relievers that sas LANGTREIZ AT Approx 8:00 Am. 8 9:00 Am
REPORT DATED 2-1-15 AND 2-10-15 REQUEST
Z MUST RELATE TO MISBERAVIOR REPORT. ARCH CATE FARMER the 2-1-15 Took REDORTS DATED AND 2-10-15 MISBRHAULOR REPORT. Book & HE SPIRIT OF MISFORTUNE NO TIME

INTERVIEW WITNESSES Provide A written STATEMEN OF their knowledge of the situation: Cell C-14-17 = OSh TO Please Provide A writte STATEMENT about the DATE 2-5-15 When OFFicer knight, officer Bishp, and officer M. Gould Came up To my Cell and Frisked my Cell and wha officer unight STATED the Frishe was For? did officer knight STATED than the Inmare C-14-32 Told them That

I Took his Radio?

CHY-16 = was you in your cell the Day Inmare

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Knight officer Bishp and M. Gould

on 2-5-15 and what officer Knigh

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was for and why did officer knigh

Told Dejesus that Inmare that locks

in C-14-32 Told them that I took hi

Radio? Hease write A STATEMENT on

what he witness and why the Frishe

was Done! -

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| interview po You may als | tential witnesses id- | Chapter V, your role is entified by the offender, otain relevant documens. | and report the res | ults of you | r efforts to t | he offender. |
| TO BE CO | MPLETED BY A | ASSISTANT: | | | ٠, | |
| I <u>initially</u> me | et with this offender | on <u>2-12-15</u> at _ | 1 ³⁰ /p _M . | | | |
| NAME MOONE MCEN BAYON RAMSEY | FN | offenders to be interview bin # OGA 33 86 OGA 33 72 OGA 133 1 G1/3 233 4 | LOCATION =-3-11 -14-32 MOVED -14-16 -14-17 -14-36 MOVED | Yes Yes Yes Yes | O TESTIFY No No No No No | - |
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| Offender S | ignature: 🗴 🔎 | Infask | N70N Date: 2 | 6-15 | Nime: <u>12</u> | 4x |

Offender Refused to Sign. Witnessed by:

Case 9:18-cv-01479-MAD-ML Document 1 Filed 09/29/17 Page 39 of 54 STATE OF NEW YORK - DEPARTMENT OF CORRECTIONAL SERVICES

WITNESS INTERVIEW NOTICE (For Superintendent's & Disciplinary Hearings)

| • | | Dimm | Correctional Facility | |
|--|--|--------------------------------------|--|------------------|
| Dans | 0 | 17. A 1xx | - 27 | |
| Inmate Name (Print) | 10 | DIN# | | |
| An inmate may call witness does not jeopardize instituti | | | ony is material, is not redunda | nt, and doing so |
| and/or if the inmate is not p | ermitted to review the | e testimony of a witnes | es outside the presence of the s, the reason for such determining icer and included in the hearing | nation must be |
| Reference: Directive #4932 | 2, Sections 253.5 and | 254.5. | | |
| Requested witness: | 'anl | Requested witness | the requested witness is denied. will testify outside inmate's preso | |
| Date: 2/18/5-3/6 While on a | xplanation: GM | ne fish me | on Shorting e | es plane |
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| Requested witness: | | Permission to call Requested witness | ************************************** | ence. |
| Date: 3/26/15 E | explanation: John Market Marke | ne fisting flig flires Masieun | of while in | on fine |
| | argjas. | | | |
| ************************************** | ************************************** | Requested witness | ************ the requested witness is denied. will testify outside inmate's presentted to review requested witness | |
| Date: 2/28/15-3/E | xplanation: | gue test | my Vin Ste | - Jear |
| | | | | |
| | n | | | |
| Signature Hearing Officer | Z(| Master to | DIGN DIN | Date Received |

Case 9:18-cv-01479-MAD-ML Document 1 Filed 09/29/17 Page 40 of 54 STATE OF NEW YORK - DEPARTMENT OF CORRECTIONAL SERVICES

WITNESS INTERVIEW NOTICE (For Superintendent's & Disciplinary Hearings)

| Correctional Facility |
|---|
| Degesus, R 12 AW84 |
| Inmate Name (Print) In DIN# |
| An inmate may call witnesses on his or her behalf provided their testimony is material, is not redundant, and doing so does not jeopardize institutional safety or correctional goals. |
| If permission to call a witness is denied, or if a requested witness testifies outside the presence of the inmate charged and/or if the inmate is not permitted to review the testimony of a witness, the reason for such determination must be recorded on this form and copies given to the inmate by the hearing officer and included in the hearing record. |
| Reference: Directive #4932, Sections 253.5 and 254.5. |
| The Mile Ewin 1991 3 37 2 ☐ Permission to call the requested witness is denied. Requested witness: ☐ Requested witness will testify outside inmate's presence. ☐ Inmate is not permitted to review requested witness's testimony. |
| Date: 2/18/18 Explanation: Justinen taken Un Specifier phone for bringed population for Security |
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| ************************************** |
| Requested witness: Permission to call the requested witness is denied. Requested witness will testify outside inmate's presence. Inmate is not permitted to review requested witness's testimony. |
| Date: 2/18/15 Explanation: 115/my talker via Spenker glove from general population for Selency Concerns |
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| ************************************** |
| Date: 2/18/15-3/26/Explanation: Gare to sting Ula speaker place While an Dury due & Stark Shorting |
| |
| Signature Lengthe to Sin 3/26/15 Hearing Officer Date Received |

Case 9:18-cv-01479-MAD-ML Document 1 Filed 09/29/17 Page 41 of 54 STATE OF NEW YORK - DEPARTMENT OF CORRECTIONAL SERVICES

WITNESS INTERVIEW NOTICE (For Superintendent's & Disciplinary Hearings)

| <u> </u> | 2 Orrectional Facility |
|---|--|
| Derpence R | 12Am+4 |
| Inmate Name (Print) | DIN# |
| An inmate may call witnesses on his or her does not jeopardize institutional safety or co | behalf provided their testimony is material, is not redundant, and doing so orrectional goals. |
| and/or if the inmate is not permitted to revie | if a requested witness testifies outside the presence of the inmate charged ew the testimony of a witness, the reason for such determination must be the inmate by the hearing officer and included in the hearing record. |
| Reference: Directive #4932, Sections 253.5 | 5 and 254.5. |
| Requested witness: | Permission to call the requested witness is denied. Requested witness will testify outside inmate's presence. Inmate is not permitted to review requested witness's testimony. |
| Date: 3/26/15 Explanation: | gave tishman via Video |
| Call From Aus | inte CF. |
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| | Permission to call the requested witness is denied. |
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| Requested witness: | □ Permission to call the requested witness is denied. □ Requested witness will testify outside inmate's presence. □ Inmate is not permitted to review requested witness's testimony. |
| Date: Explanation: | |
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| 36 O- | 100 11 h Su 3/2/10 |
| Signature Hearing Office | Inmate DIN Date Received |

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FORM 2176 (07/02)

STATE OF NEW YORK - DEPARTMENT OF CORRECTIONAL SERVICES

| WITNESS INTERVIEW NOTICE (For Superintendent's & Disciplinary Hearings) |
|---|
| Au Gerrectional Facility |
| Inmate Name (Print) CA OUX 4 DIN# |
| An inmate may call witnesses on his or her behalf provided their testimony is material, is not redundant, and doing so does not jeopardize institutional safety or correctional goals. |
| If permission to call a witness is denied, or if a requested witness testifies outside the presence of the inmate charged and/or if the inmate is not permitted to review the testimony of a witness, the reason for such determination must be recorded on this form and copies given to the inmate by the hearing officer and included in the hearing record. |
| Reference: Directive #4932, Sections 253.5 and 254.5. Permission to call the requested witness is denied. Requested witness: Requested witness will testify outside inmate's presence. Inmate is not permitted to review requested witness's testimony. |
| Date: 3/8/15 Explanation: 1/20/16 Fishing with Co Could for Confidence of Josephin his Confidence in function investigation. |
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| Permission to call the requested witness is denied. Requested witness: Requested witness will testify outside inmate's presence. Inmate is not permitted to review requested witness's testimony. |
| Date: 3/26/5 Explanation: US+ clange levering us Conducted Via Victor Conference (211) Ifm Deserts 12 forth luns in Southfast Conference Was trues fremmed to Southfast Confirment Vis Still being Conducted. Ifm Continuence of Chan dances began and the Continuence of |
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Case 9:18-cv-01479-MAD-ML Document 1 Filed 09/29/17 Page 44 of 54

DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION
THE HARRIMAN STATE CAMPUS - BUILDING 2
1220 WASHINGTON AVENUE
ALBANY, N.Y. 12226-2050

ANTHONY J. ANNUCCI ACTING COMMISSIONER

JOSEPH BELLNIER
DEPUTY COMMISSIONER
CORRECTIONAL FACILITIES

REVIEW OF SUPERINTENDENT'S HEARING

NAME: DEJESUS, ROBERTO

NO. 12A0084

HEARING FACILITY: SOUTHPORT

ON BEHALF OF THE COMMISSIONER AND IN RESPONSE TO YOUR RECENT

LETTER OF APPEAL, PLEASE BE ADVISED THAT YOUR SUPERINTENDENT'S HEARING OF

MARCH 26, 2015, HAS BEEN REVIEWED AND AFFIRMED ON JUNE 11, 2015.

D. VENETTOZZI
DIRECTOR, SPECIAL HOUSING/
INMATE DISCIPLINARY PROGRAM

CC: FACILITY SUPERINTENDENT
CENTRAL OFFICE FILES
E. WATKINS PRICE, PLS ITHACA

APPEAL DECISION RENDERED PURSUANT TO SECTION 254.8 OF CHAPTER V AND ELECTRONICALLY PRODUCED UPON THE AUTHORITY OF THE DIRECTOR OF SPECIAL HOUSING/INMATE DISCIPLINE PROGRAM.

****** ()

AUBURN GENER

TAPE NUMBER 15-233

| DIN: 1,2A | 0084 NAME: DEJESUS | , ROBERTO | | LO | CATION: S | H-UI-0024 TCY |
|-----------|---|------------------|---------|----------------|-----------|---------------|
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| DELIVERY | DATE & TIME: | 21/11/15 10 | 1.15 pm | -BY: <u>Co</u> | E. 1 | ufo |
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| 102.10 | THREATS | | CO | KNIGHT, R | М | |

ANY GUILTY DISPOSITION WILL RESULT IN A MANDATORY DISCIPLINARY SURCHARGE IN THE AMOUNT OF FIVE(\$5.00) DOLLARS BEING ASSESSED AUTOMATICALLY AGAINST THE INMATE.

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NEW YORK STATE
DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION
THE HARRIMAN STATE CAMPUS - BUILDING 2
1220 WASHINGTON AVENUE
ALBANY, N.Y. 12226-2050

ANTHONY J. ANNUCCI ACTING COMMISSIONER JOSEPH BELLNIER
DEPUTY COMMISSIONER
CORRECTIONAL FACILITIES

REVIEW OF SUPERINTENDENT'S HEARING

NAME: DEJESUS, ROBERTO

NO. 12A0084

HEARING FACILITY: SOUTHPORT

ON BEHALF OF THE COMMISSIONER, PLEASE BE ADVISED THAT YOUR

SUPERINTENDENT'S HEARING OF MARCH 26, 2015, HAS BEEN REVIEWED AND

ADMINISTRATIVELY REVERSED ON JANUARY 9, 2017.

COMMENCE AND COMPLETE REHEARING WITHIN 14 DAYS OF RECEIPT OF THIS NOTICE.

A. RODRIGUEZ
ACTING DIRECTOR, SPECIAL HOUSING/
INMATE DISCIPLINARY PROGRAM

CC: FACILITY SUPERINTENDENT CENTRAL OFFICE FILES

APPEAL DECISION RENDERED PURSUANT TO SECTION 254.8 OF CHAPTER V AND ELECTRONICALLY PRODUCED UPON THE AUTHORITY OF THE DIRECTOR OF SPECIAL HOUSING/INMATE DISCIPLINE PROGRAM.



Case 9:18-cv-01479-MAD-ML Document 1 Filed 09/29/17 Page 49 of 54

NEW YORK STATE DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION THE HARRIMAN STATE CAMPUS - BUILDING 2 1220 WASHINGTON AVENUE ALBANY, N.Y. 12226-2050

"ANTHONY J. ANNUCCI ACTING COMMISSIONER

JOSEPH BELLNIER DEPUTY COMMISSIONER CORRECTIONAL FACILITIES

MEMORANDUM

T0:

SUPERINTENDENT

ATTICA CORRECTIONAL FACILITY

A. RODRIGUEZ, ACTING DIRECTOR

SPECIAL HOUSING/INMATE DISCIPLINE

DATE: JANUARY 9, 2017

RE:

REVERSAL OF SUPERINTENDENT'S HEARING

INMATE/NUMBER

DATE OF HEARING

DATE OF INCIDENT

DEJESUS, ROBERTO

#12A0084

MARCH 26, 2015

BY CHUTTY

a SOUTHPORT C.F.

FEBRUARY 1, 2015

THE ABOVE-NOTED SUPERINTENDENT'S HEARING HAS BEEN REVERSED AND A REHEARING ORDERED ON JANUARY 9, 2017, FOR THE FOLLOWING REASON(S):

> PER THE STATE OF NEW YORK SUPREME COURT, APPELLATE DIVISION THIRD JUDICIAL DEPARTMENT DATED DECEMBER 15, 2016 REHEARING THE MISBEHAVIOR REPORT WRITTEN BY C.O. M. GOULD. THE MISBEHAVIOR REPORT WRITTEN BY C.O. KNIGHT HAS BEEN UPHELD. REHEAR W/IN 14 DAYS

PLEASE DO NOT HESITATE TO SHARE THIS INFORMATION WITH THE HEARING OFFICER WHO CONDUCTED THE HEARING OR ANY OTHER APPROPRIATE STAFF MEMBER.

Case 9:18-cv-01479-MAD-ML Document 1 Filed 09/29/17 Page 50 of 54

NEW YORK STATE
DEPARTMENT OF CORRECTIONS AND COMMUNITY SUPERVISION
THE HARRIMAN STATE CAMPUS - BUILDING 2
1220 WASHINGTON AVENUE
ALBANY, N.Y. 12226-2050

ANTHONY J. ANNUCCI ACTING COMMISSIONER

JOSEPH BELLNIER
DEPUTY COMMISSIONER
CORRECTIONAL FACILITIES

TO: INMATE RECORDS COORDINATOR

ATTICA CORRECTIONAL FACILITY

FROM: A. RODRIGUEZ, ACTING DIRECTOR

SPECIAL HOUSING/INMATE DISCIPLINE

DATE: JANUARY 9, 2017

RE: EXPUNGEMENT OF RECORDS - REHEARING

INMATE NAME: DEJESUS, ROBERTO NUMBER: 12A0084

HEARING DATE: MARCH 26, 2015 CONDUCTED BY: CHUTTY

asouthport c.f.

THE ABOVE-NOTED SUPERINTENDENT'S HEARING WAS REVERSED ON JANUARY 9, 2017, AND A REHEARING ORDERED. THE RECORDS RELATED TO THE REVERSED HEARING SHOULD BE EXPUNGED. HOWEVER, THE ORIGINAL MISBEHAVIOR REPORT AND OTHER DOCUMENTARY EVIDENCE WHICH MAY BE UTILIZED IN THE REHEARING DO NOT HAVE TO BE EXPUNGED.

PLEASE OBTAIN ALL REQUIRED RECORDS WITHIN 14 DAYS OF RECEIPT OF THIS MEMORANDUM. AFTER ACCUMULATING SUCH RECORDS, PLEASE FORWARD THEM WITH A TRANSMITTAL MEMORANDUM IN ACCORDANCE WITH THE ESTABLISHED PROCEDURES.

DO NOT SEND TAPES - RETAIN ON FILE AND MARK AS EXPUNGED.

IF THE RECORDS ARE NOT AVAILABLE AT YOUR FACILITY, PLEASE ADVISE THIS OFFICE AS SOON AS POSSIBLE USING THE FOLLOWING SPACE.

TO: SPECIAL HOUSING/INMATE DISCIPLINARY PROGRAM UNIT

FROM: DATE:

REASON FOR INABILITY TO COMPLETE EXPUNGEMENT:____

COPY TO EXPUNGEMENT FILE CC: IRC, SOUTHPORT CF (TAPE) O. DEMUTH, AG/NYS

Case 9:18-cv-01479-MAD-ML Document 1 Filed 09/29/17 Page 51 of 54

State of New York

Supreme Court, Appellate Division Third Judicial Department

Decided and Entered:

December 15, 2016

522255

In the Matter of ROBERTO DEJESUS,

Petitioner,

V

MEMORANDUM AND JUDGMENT

DONALD VENETTOZZI, as Acting
Director of Special Housing
and Inmate Disciplinary
Programs,

Respondent.

Calendar Date: October 25, 2016

Before: Peters, P.J., McCarthy, Rose, Mulvey and Aarons, JJ.

Robert Dejesus, Attica, petitioner pro se.

Eric T. Schneiderman, Attorney General, Albany (Owen Demuth of counsel), for respondent.

Proceeding pursuant to CPLR article 78 (transferred to this Court by order of the Supreme Court, entered in Albany County) to review a determination of the Commissioner of Corrections and Community Supervision finding petitioner guilty of violating certain prison disciplinary rules.

Petitioner was charged in a misbehavior report with assault on an inmate, possessing a weapon, creating a disturbance and fighting. As he was being escorted to the special housing unit following the issuance of the misbehavior report, he threatened the escorting correction officers, resulting in him being charged in a second misbehavior report with making threats. At a combined tier III disciplinary hearing on both reports,

determination of guilt with respect to the charges in the first misbehavior report must be annulled and the matter remitted for a new hearing (see Matter of Sorrentino v Fischer, 106 AD3d 1309, 1310 [2013], appeal dismissed 22 NY3d 1060 [2014]; Matter of Dickerson v Fischer, 105 AD3d 1232, 1232 [2013]).

Peters, P.J., McCarthy, Rose, Mulvey and Aarons, JJ., concur.

ADJUDGED that the determination is modified, without costs, by annulling so much thereof as found petitioner guilty of assault on an inmate, possessing a weapon, creating a disturbance and fighting; petition granted to that extent and matter remitted to the Commissioner of Corrections and Community Supervision for further proceedings not inconsistent with this Court's decision; and, as so modified, confirmed.

ENTER:

RobertaMarbugan

Robert D. Mayberger Clerk of the Court Case 9:18-cv-01479-MAD-ML Document 1 Filed 00/29/17 Page 53 of 54

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The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of meadings of other papers as required by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON NEXT PAGE OF THIS FORM.)

| purpose of initiating the civil do | cket sheet. (SEE INSTRUCTIONS ON NEXT PAGE C |)F THIS FC | DRM.) | | |
|--|---|--|---|--|---|
| I. (a) PLAINTIFFS P | OBECTO DEJESUS#18A | 208 A | DEFENDANTS | Captain Cl | intrey, |
| | * | | | Donald Ver | nerrozzi |
| (b) County of Residence of | First Listed Plaintiff Wyomins CEPT IN U.S. PLAINTIFF CASES) | | County of Residence | of First Listed Defendant | CayuGa |
| (LA | CELLIN C.S. PENNINT CASES | , | NOTE: IN LAND CO THE TRACT | (IN U.S. PLAINTIFF CASES ON NDEMNATION CASES, USE TH OF LAND INVOLVED. | |
| (c) Attorneys (Firm Name, A | ddress, and Telephone Number) | | Attorneys (If Known) | | |
| Pro-5 | o Odsa | | | / | |
| II. BASIS OF JURISDI | CTION (Place an "X" in One Box Only) | TITE C | ITIZENSHID OF D | Unkner | - , |
| | | 111. | (For Diversity Cases Only) | RINCIPAL PARTIES (| Place an "X" in One Box for Plaintiff and One Box for Defendant) |
| U.S. Government Plaintiff | U.S. Government Not a Party) | Citiz | PT zen of This State D | T DEF I Incorporated or Print of Business In Th | PTF DEF |
| O 2 U.S. Government Defendant | Diversity (Indicate Citizenship of Parties in Item III) | Citiz | zen of Another State | 2 D 2 Incorporated and Prof Business In A | |
| ************************************** | : | | zen or Subject of a oreign Country | 3 D 3 Foreign Nation | 0 6 0 6 |
| IV. NATURE OF SUIT | (Place an "X" in One Box Only) | | | Click here for: Nature of Sur | |
| ☐ 110 Insurance | PERSONAL INJURY PERSONAL INJURY | | ORTEITUREMENAUTY | l . | OTHER STATIUTES |
| D 120 Marine | PERSONAL INJURY PERSONAL INJURY 365 Personal Injury | | 525 Drug Related Seizure of Property 21 USC 881 | ☐ 422 Appeal 28 USC 158 ☐ 423 Withdrawal | O 375 False Claims Act O 376 Qui Tam (31 USC |
| 130 Miller Act | ☐ 315 Airplane Product Product Liability | y 🗆 6 | 690 Other | 28 USC 157 | 3729(a)) |
| ☐ 140 Negotiable Instrument ☐ 150 Recovery of Overpayment | Liability | | | PROPERTYALIGHTS | ☐ 400 State Reapportionment |
| & Enforcement of Judgment | Slander Personal Injury | - 1 | | □ 820 Copyrights | 410 Antitrust 430 Banks and Banking |
| ☐ 151 Medicare Act ☐ 152 Recovery of Defaulted | ☐ 330 Federal Employers' Product Liability | | • | ☐ 830 Patent | ☐ 450 Commerce |
| Student Loans | Liability 368 Asbestos Person 340 Marine Injury Product | al l | | ☐ 840 Trademark | 460 Deportation |
| (Excludes Veterans) | O 345 Marine Product Liability | 4435 | AND LABOR | SOCIAL SEGURITY | Orrupt Organizations |
| ☐ 153 Recovery of Overpayment | Liability PERSONAL PROPE | | 710 Fair Labor Standards | ☐ 861 HIA (1395ff) | 480 Consumer Credit |
| of Veteran's Benefits 160 Stockholders' Suits | ☐ 350 Motor Vehicle ☐ 370 Other Fraud ☐ 371 Truth in Lendin | _ | Act | ☐ 862 Black Lung (923) | 490 Cable/Sat TV |
| 190 Other Contract | D 355 Motor Vehicle D 371 Truth in Lending Product Liability D 380 Other Personal | ğ μ, | 720 Labor/Management Relations | ☐ 863 DIWC/DIWW (405(g)) ☐ 864 SSID Title XVI | 850 Securities/Commodities/ |
| ☐ 195 Contract Product Liability | ☐ 360 Other Personal Property Damag | e O 1 | 740 Railway Labor Act | D 865 RSI (405(g)) | Exchange By Other Statutory Actions |
| ☐ 196 Franchise | Injury 385 Property Damag | | 751 Family and Medical | | ☐ 891 Agricultural Acts |
| | ☐ 362 Personal Injury - Product Liability Medical Malpractice | | Leave Act 790 Other Labor Litigation | | ☐ 893 Environmental Matters |
| REALTROPERTY | GIVILTRIGHTS PRISONER PERIOD | | 791 Employee Retirement | REDERAUTAX SUITS | ☐ 895 Freedom of Information Act |
| 210 Land Condemnation | ☐ 440 Other Civil Rights Habeas Corpus: | | Income Security Act | ☐ 870 Taxes (U.S. Plaintiff | D 896 Arbitration |
| 220 Foreclosure 230 Rent Lease & Ejectment | O. 441 Voting O. 463 Alien Detainee. | | Style . | or Defendant) | ☐ 899:Administrative.Procedure |
| ☐ 240 Torts to Land | ☐ 442 Employment ☐ 510 Motions to Vaca ☐ 443 Housing/ Sentence | ite | | 0 871 IRS—Third Party 26 USC 7609 | Act/Review or Appeal of |
| ☐ 245 Tort Product Liability | Accommodations | | | 20 050 7007 | Agency Decision 950 Constitutionality of |
| 290 All Other Real Property | ☐ 445 Amer. w/Disabilities - ☐ 535 Death Penalty | | INMIGRATION | | State Statutes |
| | Employment Other: 446 Amer. w/Disabilities - 540 Mandamus & O | dher 🗀 . | 462 Naturalization Application 465 Other Immigration | i i | |
| | Other 550 Civil Rights | ı | Actions | | |
| | ☐ 448 Education ☐ 555 Prison Conditio | n | | | |
| | Conditions of | | • | | |
| | Confinement | | | | |
| V. ORIGIN (Place on "X" | n One Box Only) | | | | |
| Original D2 Re | moved from 3 Remanded from Appellate Court | | | erred from D 6 Multidistrer District Litigation Transfer | |
| | Cite the U.S. Civil Statute under which you | are filing | (Do not cite jurisdictional sta | tutes unless diversity): | |
| VI. CAUSE OF ACTIO | 731 | | | <u> </u> | 1785 |
| | Brief description of cause: | .F. I | Confiner | 1907 1 | india 1 |
| VII. REQUESTED IN | CHECK IF THIS IS A CLASS AGPIC | NO CONTRACTOR OF THE PARTY OF T | DEMANDS 450 40 | A A CHECK YES | 3 - 17 · U |
| COMPLAINT: | UNDER RULE 23, F.R.Cv.P. | 217 | Fred 12 march | A DAY CHECK YES only | is demanded in complaint: |
| VIII. RELATED CAS | E(S) | | 101 10-19001733 | M SHEWURY DEMAND: | Yes D No |
| IF ANY | (See instructions): JUDGE | | | DOCKET NUMBER | |
| DATE 6-12- | SIGNATURE OF A | TTORNEY | OF REGORD | Porti- Co | |
| FOR OFFICE USE ONLY | , junely | | exger- | 110 3 | |
| RECEIPT # A | MOUNT APPLYING IF | P | JUDGE | MAG. JU | DGE . |